



List of Qualified U.S. Financial Institutions

Issuers of Letters of Credit as Collateral in Reinsurance Arrangements

May 2019



National Association of
Insurance Commissioners

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National Association of Insurance Commissioners

List of Qualified U.S. Financial Institutions as of May 01, 2019

NOTE: NAIC members, staff of state insurance departments and insurance companies may use this list in accordance with the procedure and for the purposes discussed in Part Six of the Purposes and Procedures Manual of the NAIC Investment Analysis Office (Creation and Maintenance of QUSFI List).

The List of Qualified U.S. Financial Institutions established in Part Six implements Section 3C. (1) and Section 4 A. of the NAIC Credit for Reinsurance Model Law (#785) (Model Law). Section 3 C. (1) of the Model Law permits an asset or a reduction from liability for reinsurance ceded by a domestic insurer to an assuming insurer.

The List of Qualified U.S. Financial Institutions is a compilation of the names of banks and non-depository lenders (financial institutions) that have applied to the NAIC to be identified as issuers of letters of credit that insurers may wish to integrate in support of reinsurance arrangements under the Model Law or stated law provisions based on the Model Law.

The List of Qualified U.S. Financial Institutions implemented under this Part is not to be used for any other purpose or by any other person or entity.

INSTITUTION NAME	BRANCH NAME	ABA/LEI/EIN	SOVEREIGN
American Business Bank	Los Angeles, CA	122042807	UNITED STATES
Associated Bank N A	Green Bay, WI	075900575	UNITED STATES
Australia and New Zealand Banking Group Limited	New York, NY	026009917	AUSTRALIA
Banco Bilbao Vizcaya Argentaria S A	New York, NY	026001847	SPAIN
Banco Santander, S.A.	New York Branch	026007692	UNITED STATES
Bank of America N A	Charlotte, NC	026009593	UNITED STATES
Bank of China New York Branch	New York, NY	026003269	CHINA
Bank of Hawaii	Honolulu, HI	121301028	UNITED STATES
Bank of Montreal	Chicago, IL	071000288	CANADA
Bank of the West	Los Angeles, CA	121100782	UNITED STATES
Barclays Bank PLC	New York, NY	026002574	UNITED KINGDOM
Bayerische Landesbank	New York, NY	026003337	GERMANY
BMO Harris Bank N A	Chicago, IL	071904627	CANADA
BNP Paribas	New York, NY	026007689	FRANCE
BOKF NA	Tulsa, OK	103900036	UNITED STATES
Branch Banking & Trust Co	Charlotte, NC	053101121	UNITED STATES
Canadian Imperial Bank of Commerce	New York, NY	026002558	CANADA
Capital One N A	New York, NY	065000090	UNITED STATES
CIBC BANK USA	Chicago, IL	071006486	UNITED STATES
Citibank N A	New York, NY	021000089	UNITED STATES
Citizens Bank N A	Providence, RI	011500120	UNITED STATES
Citizens Bank PA	Citizens Bank PA	036076150	UNITED STATES
City National Bank	Beverly Hills, CA	122016066	UNITED STATES
CoBank ACB	Greenwood Village, CO	307088754	UNITED STATES
Comerica Bank	Dallas, Tx	111000753	UNITED STATES
Commerce Bank	Kansas City	101000019	UNITED STATES
Commerzbank A G	New York, NY	026008044	GERMANY
Commonwealth Bank of Australia	New York, NY	026009027	AUSTRALIA
Compass Bank	Houston, TX	062001186	UNITED STATES
Coöperatieve Rabobank U A	New York, NY	026002901	NETHERLANDS
Credit Agricole Corporate and Investment Bank	New York, NY	026008073	FRANCE
Credit Industriel et Commercial	New York, NY	026008659	FRANCE
Credit Suisse AG	New York, NY	026009179	SWITZERLAND
Deutsche Bank A G New York Branch	New York, NY	026003780	GERMANY
Deutsche Bank Trust Company Americas	Jersey City, NJ	021001033	UNITED STATES
DNB Bank ASA	New York, NY	549300GKFG0RYRRQ1414	NORWAY
DZ Bank AG	New York, NY	061050057	GERMANY
East West Bank	Pasadena, CA	322070381	UNITED STATES
Enterprise Bank & Trust	Clayton, MO	081006162	UNITED STATES

INSTITUTION NAME	BRANCH NAME	ABA/LEI/EIN	SOVEREIGN
Federal Home Loan Bank of Atlanta	Atlanta, GA	061008766	UNITED STATES
Federal Home Loan Bank of Boston	Boston, MA	011000536	UNITED STATES
Federal Home Loan Bank of Chicago	Chicago, IL	071004501	UNITED STATES
Federal Home Loan Bank of Dallas	Dallas, TX	R7UJYAV8DF2UV19RDE54	UNITED STATES
Federal Home Loan Bank of Des Moines	Des Moines, IA	073000914	UNITED STATES
Federal Home Loan Bank of Pittsburgh	Pittsburgh, PA	043001435	UNITED STATES
Federal Home Loan Bank of San Francisco	San Francisco, CA	121000701	UNITED STATES
Federal Home Loan Bank of Topeka	Topeka, KS	101101947	UNITED STATES
Fifth Third Bank	Cincinnati, OH	042000314	UNITED STATES
First Hawaiian Bank	Honolulu, HI	121301015	UNITED STATES
First Midwest Bank	Joliet, IL	KV8W1JTB8FZ821S5ED75	UNITED STATES
First National Bank of Omaha	Omaha, NE	104000016	UNITED STATES
First National Bank of Pennsylvania	Pittsburgh, PA	043318092	UNITED STATES
First Tennessee Bank N A	Memphis, TN	084000026	UNITED STATES
First-Citizens Bank & Trust Company	Raleigh, NC	053100300	UNITED STATES
Frost Bank	San Antonio, TX	114000093	UNITED STATES
Fulton Bank	Lancaster, PA	031301422	UNITED STATES
Goldman Sachs Bank USA	New York, NY	026014601	UNITED STATES
Hancock Whitney Bank	New Orleans, LA	065503681	UNITED STATES
HSBC Bank USA N A	New York, NY	021001088	UNITED STATES
Huntington National Bank	Columbus, OH	044000024	UNITED STATES
Independent Bank	McKinney, TX	549300YCCZTMBHGTNQ79	UNITED STATES
Industrial and Commercial Bank of China Ltd	New York, NY	026014591	CHINA
INTRUST Bank NA	Wichita, KS	549300IXP5DNWSGY6F96	UNITED STATES
JPMorgan Chase Bank	New York, NY	021000021	UNITED STATES
KBC Bank N V	New York, NY	026008248	BELGIUM
KeyBank National Association	Cleveland, OH	041001039	UNITED STATES
Kookmin Bank, New York Branch	New York, NY	026013343	UNITED STATES
Landesbank Baden Wuerttemberg	New York, NY	026013453	GERMANY
Landesbank Hessen Thuringen Girozentrale	New York, NY	026002545	GERMANY
Legacy Texas Bank	Plano, TX	111901234	UNITED STATES
Lloyds Bank Corporate Markets plc	New York, NY	026015037	UNITED KINGDOM
Macquarie Bank Limited	New York, NY	4ZHCH14KYZG2WVRT8631	AUSTRALIA
Manufacturers and Traders Trust Co	Baltimore, MD	022000046	UNITED STATES
Manufacturers Bank	Brea, CA	122226076	UNITED STATES
MB Financial Bank N A	Chicago, IL	YJMYTIGWK1HM7EQ06C15	UNITED STATES
Mizuho Bank Ltd	New York, NY	026004307	JAPAN
Morgan Stanley Bank N A	Salt Lake City, UT	026014630	UNITED STATES
MUFG Bank Ltd Chicago Branch	Chicago, IL	071002341	JAPAN
MUFG Bank Ltd Los Angeles Branch	Los Angeles, CA	122004162	JAPAN
MUFG Bank Ltd New York Branch	New York, NY	026009632	JAPAN
MUFG Union Bank N A	San Francisco, CA	OX3PU53ZLPQKJ4700D47	UNITED STATES
National Bank of Canada	New York, NY	026005487	CANADA
Natixis	New York, NY	026009920	FRANCE
Norddeutsche Landesbank Girozentrale	New York, NY	026012548	GERMANY
Nordea Bank Abp	New York, NY	026010786	FINLAND
Old National Bank	Evansville, IN	549300AT7EB9JFAF0E61	UNITED STATES
Peoples United Bank	Burlington, VT	221172186	UNITED STATES
Pinnacle Bank	Nashville, Tennessee	064008637	UNITED STATES
PNC Bank National Association	Pittsburgh, PA	043000096	UNITED STATES
Regions Bank	Birmingham, AL	062000019	UNITED STATES
Renasant Bank	Tupelo, MS	084201294	UNITED STATES
Royal Bank of Canada	New York, NY	026004093	CANADA
Santander Bank, National Association	Boston, MA	011075150	UNITED STATES
ServisFirst Bank	Birmingham, AL	062006505	UNITED STATES
Signature Bank	New York, NY	026013576	UNITED STATES
Silicon Valley Bank	San Jose, CA	0K2D5AK28E3O5CC06E35	UNITED STATES
Skandinaviska Enskilda Banken	New York, NY	026003036	SWEDEN
Societe Generale	New York, NY	026004226	FRANCE
Standard Chartered Bank	New York, NY	026001591	UNITED KINGDOM

INSTITUTION NAME	BRANCH NAME	ABA/LEI/EIN	SOVEREIGN
State Street Bank and Trust Company	Boston, MA	011000028	UNITED STATES
Sumitomo Mitsui Banking Corp	New York, NY	026009674	JAPAN
Sumitomo Mitsui Trust Bank Limited New York Branch	New York, NY	5493006GGLR4BTEL8O61	JAPAN
SunTrust Bank	Atlanta, GA	061000104	UNITED STATES
Svenska Handelsbanken AB	New York, NY	026010841	SWEDEN
TD Bank N A	Mt. Laurel, NJ	031201360	CANADA
Texas Capital Bank N A	Dallas, TX	111017979	UNITED STATES
The Bank of New York Mellon	New York, NY	021000018	UNITED STATES
The Chiba Bank Ltd	New York, NY	026011125	JAPAN
The Northern Trust Company	Chicago, IL	6PTKHDJ8HDUF78PFWH30	UNITED STATES
The Shizuoka Bank Ltd New York Branch	New York	026011947	JAPAN
The Toronto-Dominion Bank	New York, NY	026003243	CANADA
Trustmark National Bank	Jackson, MS	065300279	UNITED STATES
U.S. Bank N.A.	Cincinnati, Ohio	042000013	UNITED STATES
UBS AG Stamford Branch	Stamford Branch	026007993	SWITZERLAND
UMB Bank N A	Kansas City, MO	101000695	UNITED STATES
Umpqua Bank	Portland, OR	123205054	UNITED STATES
UniCredit Bank AG	New York, NY	026008808	GERMANY
United Bank	Vienna, VA	056004445	UNITED STATES
Webster Bank, National Association	Waterbury, CT	211170101	UNITED STATES
Wells Fargo Bank	San Francisco, CA	121043218	UNITED STATES
Wells Fargo Bank N A	Winston-Salem, NC	121000248	UNITED STATES
Western Alliance Bank	Phoenix, AZ	122105980	UNITED STATES
Westpac Banking Corporation, New York Branch	New York, NY	026003447	UNITED STATES
Wilmington Trust Company	Wilmington, DE	031100092	UNITED STATES
Wilmington Trust National Association	Wilmington, DE	022313005	UNITED STATES
ZB N A	Salt Lake City, UT	124042559	UNITED STATES

*** Institutions which were previously on the list but which have not paid the required \$750.00 annual maintenance fee have been removed from the list. Institutions which no longer met the eligibility requirements have been removed from the list.**

**** Due to timing differences in the production of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* please consult the monthly list publication to further confirm changes to the list that may include additions and/or de-listings.**