



## 2018 VISION Filing Fees by Detail Product Code as of January 1, 2018

| DEPARTMENT                   | PS CODE | PS DESCRIPTION                                | Fee Amount |
|------------------------------|---------|---|------------|
| Advance Rating Svc-Corporate | 25002   | Advance Rating Serv - Corp (Expedited Review) | \$ 5,000   |
| Advance Rating Svc-Corporate | 25001   | Advance Rating Serv - Corp (Insurer)          | \$ 15,750  |
| Advance Rating Svc-Corporate | 25000   | Advance Rating Serv - Corp (Non Insurer)      | \$ 25,000  |
| Advance Rating Svc-Corporate | 25005   | Advance Rating Serv - ETF (Expedited Review)  | \$ 5,000   |
| Advance Rating Svc-Corporate | 25004   | Advance Rating Serv - ETF (Insurer)           | \$ 15,750  |
| Advance Rating Svc-Corporate | 25003   | Advance Rating Serv - ETF (Non Insurer)       | \$ 25,000  |
| Appeals                      | 36104   | SVO Appeals Additional Issue                  | \$ 250     |
| Appeals                      | 36101   | SVO Appeals Annual                            | \$ 2,500   |
| Appeals                      | 36103   | SVO Appeals Expired Info Request              | \$ 250     |
| Appeals                      | 36100   | SVO Appeals Initial                           | \$ 2,500   |
| Appeals                      | 36102   | SVO Appeals Rejection                         | \$ 250     |
| Bank Letter of Credit        | 15006   | QUSFI Annual                                  | \$ 750     |
| Bank Letter of Credit        | 15005   | QUSFI Initial                                 | \$ 1,250   |
| Canadian Municipals          | 23502   | Canada A/U Issue Rated                        | \$ 250     |
| Canadian Municipals          | 23561   | Canada A/U Issuer CRP Rated                   | \$ 525     |
| Canadian Municipals          | 23562   | Canada A/U Issuer Rated Add Issue             | \$ 125     |
| Canadian Municipals          | 23523   | Canada A/U VOS Not Rated                      | \$ 1,575   |
| Canadian Municipals          | 23530   | Canada Annual Expired Info Request            | \$ 250     |
| Canadian Municipals          | 23538   | Canada Annual VOS 5*/6*                       | \$ 1,575   |
| Canadian Municipals          | 23520   | Canada Initial Expired Info Request           | \$ 250     |
| Canadian Municipals          | 23500   | Canada Initial Issue Rated                    | \$ 250     |
| Canadian Municipals          | 23563   | Canada Initial Issuer CRP Rated               | \$ 1,575   |
| Canadian Municipals          | 23521   | Canada Initial Issuer NotInVOS                | \$ 5,000   |
| Canadian Municipals          | 23564   | Canada Initial Issuer Rated Add Issue         | \$ 1,575   |
| Canadian Municipals          | 23524   | Canada Initial Not Rated                      | \$ 1,575   |
| Canadian Municipals          | 23529   | Canada Initial VOS 5*/6*                      | \$ 750     |
| Canadian Municipals          | 23542   | Canada Material Change                        | \$ 250     |
| Canadian Municipals          | 23548   | Canada Non-Billable Filing                    | \$ -       |
| Canadian Municipals          | 23545   | Canada Pricing Request                        | \$ -       |
| Canadian Municipals          | 23547   | Canada Rejected Filing                        | \$ 250     |
| Canadian Municipals          | 23546   | Canada Renumbering Requests                   | \$ -       |
| Corporate                    | 20024   | Ann Common Equity-Like Class                  | \$ 1,575   |
| Corporate                    | 20005   | Corp A/U Common Stock                         | \$ 250     |
| Corporate                    | 20010   | Corp A/U Foreign Stock                        | \$ 250     |
| Corporate                    | 20002   | Corp A/U Issue Rated                          | \$ 250     |
| Corporate                    | 20043   | Corp A/U Issuer CRP Rated                     | \$ 525     |
| Corporate                    | 20045   | Corp A/U Issuer Rated Add Issue               | \$ 125     |
| Corporate                    | 20023   | Corp A/U VOS Not Rated                        | \$ 1,575   |
| Corporate                    | 20023F  | Corp A/U VOS Not Rated Foreign                | \$ 1,575   |
| Corporate                    | 20061   | Corp Annual DIPS                              | \$ 1,575   |
| Corporate                    | 20040   | Corp Annual Expired Info Request              | \$ 250     |
| Corporate                    | 20037   | Corp Annual VOS 5*/6*                         | \$ 1,575   |
| Corporate                    | 20004   | Corp Initial Common Stock                     | \$ 250     |
| Corporate                    | 20060   | Corp Initial DIPS                             | \$ 5,000   |
| Corporate                    | 20030   | Corp Initial Expired Info Request             | \$ 250     |
| Corporate                    | 20009   | Corp Initial Foreign Stock                    | \$ 250     |
| Corporate                    | 20000   | Corp Initial Issue Rated                      | \$ 250     |
| Corporate                    | 20046   | Corp Initial Issuer CRP Rated                 | \$ 1,575   |
| Corporate                    | 20021   | Corp Initial Issuer Not in VOS                | \$ 5,000   |
| Corporate                    | 20021F  | Corp Initial Issuer Not in VOS Foreign        | \$ 5,000   |
| Corporate                    | 20047   | Corp Initial Issuer Rated Add Issue           | \$ 1,575   |
| Corporate                    | 20026   | Corp Initial Not Rated                        | \$ 1,575   |



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|------------------------|---------|--|------------|
| Corporate              | 20026F  | Corp Initial Not Rated Foreign               | \$ 1,575   |
| Corporate              | 20029   | Corp Initial VOS 5*/6*                       | \$ 750     |
| Corporate              | 20062   | Corp Material Change                         | \$ 250     |
| Corporate              | 20042   | Corp Non-Billable Filing                     | \$ -       |
| Corporate              | 20063   | Corp Pricing Request                         | \$ -       |
| Corporate              | 20041   | Corp Rejected Filings                        | \$ 250     |
| Corporate              | 20064   | Corp Renumbering Request                     | \$ -       |
| Corporate              | 20027   | Init Common Equity-Like Class                | \$ 5,000   |
| Corporate              | 23622   | SCH BA A/U Issuer CRP Rated                  | \$ 525     |
| Corporate              | 23623   | SCH BA A/U Issuer Rated Add Issue            | \$ 125     |
| Corporate              | 23621   | SCH BA Annual Expired Info Request           | \$ 250     |
| Corporate              | 23603   | SCH BA Annual Issue Rated                    | \$ 250     |
| Corporate              | 23606   | SCH BA Annual Surplus Note X                 | \$ 250     |
| Corporate              | 23618   | SCH BA Annual VOS 5*/6*                      | \$ 1,575   |
| Corporate              | 23604   | SCH BA Annual VOS Not Rated                  | \$ 1,575   |
| Corporate              | 23620   | SCH BA Initial Expired Info Request          | \$ 250     |
| Corporate              | 23600   | SCH BA Initial Issue Rated                   | \$ 250     |
| Corporate              | 23624   | SCH BA Initial Issuer CRP Rated              | \$ 1,575   |
| Corporate              | 23625   | SCH BA Initial Issuer Rated Add Issue        | \$ 1,575   |
| Corporate              | 23601   | SCH BA Initial Not Rated                     | \$ 1,575   |
| Corporate              | 23602   | SCH BA Initial NotinVOS                      | \$ 5,000   |
| Corporate              | 23605   | SCH BA Initial Surplus Note X                | \$ 250     |
| Corporate              | 23619   | SCH BA Initial VOS 5*/6*                     | \$ 750     |
| Corporate              | 23615   | SCH BA Material Change                       | \$ 250     |
| Corporate              | 23627   | SCH BA Non-Billable Filing                   | \$ -       |
| Corporate              | 23616   | SCH BA Pricing Request                       | \$ -       |
| Corporate              | 23626   | SCH BA Rejected Filings                      | \$ 250     |
| Corporate              | 23617   | SCH BA Renumbering Request                   | \$ -       |
| Corporate              | 20008   | Struc CTL A/U Not Rated                      | \$ 1,575   |
| Corporate              | 20007   | Struc CTL A/U Rated                          | \$ 250     |
| Corporate              | 18001   | Surplus Notes                                | \$ -       |
| Corporate              | 18002   | Surplus Notes                                | \$ -       |
| Corporate              | 18003   | Surplus Notes Expired Info Request           | \$ 250     |
| Counterpart Derivative | 17002   | Counterparty Derivative Annuals              | \$ 1,000   |
| Counterpart Derivative | 17004   | Counterparty Derivative Expired Info Request | \$ 250     |
| Counterpart Derivative | 17001   | Counterparty Derivative Initials             | \$ 2,500   |
| Counterpart Derivative | 17003   | Counterparty Derivative Rejection            | \$ 250     |
| Exchange Traded Funds  | 35700   | Exchange Traded Funds (Annuals Non-Insurers) | \$ 1,000   |
| Exchange Traded Funds  | 35701   | Exchange Traded Funds (Sponsors License)     | \$ 1,000   |
| Government             | 23512   | Govt A/U Issue Rated                         | \$ 250     |
| Government             | 23565   | Govt A/U Issuer CRP Rated                    | \$ 525     |
| Government             | 23566   | Govt A/U Issuer Rated Add Issue              | \$ 125     |
| Government             | 23533   | Govt A/U VOS Not Rated                       | \$ 1,575   |
| Government             | 23560   | Govt Annual Expired Info Request             | \$ 250     |
| Government             | 23558   | Govt Annual VOS 5*/6*                        | \$ 1,575   |
| Government             | 23550   | Govt Initial Expired Info Request            | \$ 250     |
| Government             | 23510   | Govt Initial Issue Rated                     | \$ 250     |
| Government             | 23567   | Govt Initial Issuer CRP Rated                | \$ 1,575   |
| Government             | 23531   | Govt Initial Issuer Not in VOS               | \$ 5,000   |
| Government             | 23568   | Govt Initial Issuer Rated Add Issue          | \$ 1,575   |
| Government             | 23534   | Govt Initial Not Rated                       | \$ 1,575   |
| Government             | 23549   | Govt Initial VOS 5*/6*                       | \$ 750     |



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|-----------------------|---------|--|------------|
| Government            | 23555   | Govt Material Change                       | \$ 250     |
| Government            | 23570   | Govt Non-Billable Filing                   | \$ -       |
| Government            | 23556   | Govt Pricing Request                       | \$ -       |
| Government            | 23569   | Govt Rejected Filing                       | \$ 250     |
| Government            | 23557   | Govt Renumbering Request                   | \$ -       |
| Money Market Fund     | 16002   | Mutual Funds Annuals                       | \$ 500     |
| Money Market Fund     | 16004   | Mutual Funds Expired Info Request          | \$ -       |
| Money Market Fund     | 16001   | Mutual Funds Initials                      | \$ 750     |
| Money Market Fund     | 16003   | Mutual Funds Rejection                     | \$ -       |
| Municipal             | 21043   | Muni A/R Issuer CRP Rated                  | \$ 525     |
| Municipal             | 21060   | Muni A/R Issuer Rated Add Issue            | \$ 125     |
| Municipal             | 21023   | Muni A/R VOS Not Rated                     | \$ 1,575   |
| Municipal             | 21023F  | Muni A/R VOS Not Rated Foreign             | \$ 1,575   |
| Municipal             | 21002   | Muni A/U Issue Rated                       | \$ 250     |
| Municipal             | 21040   | Muni Annual Expired Info Request           | \$ 250     |
| Municipal             | 21057   | Muni Annual Lottery                        | \$ 750     |
| Municipal             | 21059   | Muni Annual Pre-Refunded                   | \$ 1,350   |
| Municipal             | 21037   | Muni Annual VOS 5*/6*                      | \$ 1,575   |
| Municipal             | 21030   | Muni Initial Expired Info Request          | \$ 250     |
| Municipal             | 21000   | Muni Initial Issue Rated                   | \$ 250     |
| Municipal             | 21044   | Muni Initial Issuer CRP Rated              | \$ 1,575   |
| Municipal             | 21021   | Muni Initial Issuer Not in VOS             | \$ 5,000   |
| Municipal             | 21021F  | Muni Initial Issuer Not in VOS Foreign     | \$ 5,000   |
| Municipal             | 21045   | Muni Initial Issuer Rated Add Issue        | \$ 1,575   |
| Municipal             | 21056   | Muni Initial Lottery                       | \$ 1,350   |
| Municipal             | 21024   | Muni Initial Not Rated                     | \$ 1,575   |
| Municipal             | 21024F  | Muni Initial Not Rated Foreign             | \$ 1,575   |
| Municipal             | 21058   | Muni Initial Pre-Refunded                  | \$ 1,350   |
| Municipal             | 21029   | Muni Initial VOS 5*/6*                     | \$ 750     |
| Municipal             | 21061   | Muni Material Change                       | \$ 250     |
| Municipal             | 21065   | Muni Non-Billable Filing                   | \$ -       |
| Municipal             | 21062   | Muni Pricing Request                       | \$ -       |
| Municipal             | 21064   | Muni Rejected Filing                       | \$ 250     |
| Municipal             | 21063   | Muni Renumbering Request                   | \$ -       |
| Private Letter Rating | 26003   | Private Letter Expired Info Request        | \$ 175     |
| Private Letter Rating | 26001   | Private Letter Initial                     | \$ 175     |
| Private Letter Rating | 26004   | Private Letter Rejected Filing             | \$ 175     |
| Private Letter Rating | 26002   | Private Letter Subsequent                  | \$ 175     |
| Private Letter Rating | 26005   | Private Letter Non-Billable Filing         | \$ -       |
| Sovereign             | 20531   | Foreign/Supra Annual Expired Info Request  | \$ 250     |
| Sovereign             | 20533   | Foreign/Supra Annual Issuer Add Issue      | \$ 125     |
| Sovereign             | 20537   | Foreign/Supra Annual VOS 5*/6*             | \$ 1,575   |
| Sovereign             | 20504   | Foreign/Supra AU CRP Rated                 | \$ 525     |
| Sovereign             | 20502   | Foreign/Supra AU Issue Rated               | \$ 250     |
| Sovereign             | 20503   | Foreign/Supra AU VOS Not Rated             | \$ 1,575   |
| Sovereign             | 20501   | Foreign/Supra Init IssrNtinVOS             | \$ 5,000   |
| Sovereign             | 20530   | Foreign/Supra Initial Expired Info Request | \$ 250     |
| Sovereign             | 20500   | Foreign/Supra Initial Issue Rated          | \$ 250     |
| Sovereign             | 20532   | Foreign/Supra Initial Issuer Add Issue     | \$ 1,575   |
| Sovereign             | 20526   | Foreign/Supra Initial Issuer CRP Rated     | \$ 1,575   |
| Sovereign             | 20506   | Foreign/Supra Initial Not Rated            | \$ 1,575   |
| Sovereign             | 20529   | Foreign/Supra Initial VOS 5*/6*            | \$ 750     |



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| DEPARTMENT          | PS CODE | PS DESCRIPTION                    | Fee Amount |
|---------------------|---------|-----------------------------------|------------|
| Sovereign           | 20540   | Foreign/Supra Material Change     | \$ 250     |
| Sovereign           | 20544   | Foreign/Supra Non-Billable Filing | \$ -       |
| Sovereign           | 20541   | Foreign/Supra Pricing Request     | \$ -       |
| Sovereign           | 20543   | Foreign/Supra Rejected Filing     | \$ 250     |
| Sovereign           | 20542   | Foreign/Supra Renumbering Request | \$ -       |
| Structured Security | 22029   | Military Housing Bonds Annual     | \$ 1,575   |
| Structured Security | 22003   | Military Housing Bonds Initial    | \$ 5,000   |
| Structured Security | 22164   | SSG Annual Mortgage Reference     | \$ -       |
| Structured Security | 22163   | SSG Initial Mortgage Reference    | \$ -       |
| Structured Security | 22168   | SSG Rejected Filing               | \$ 250     |
| Structured Security | 22044   | Str Annual Expired Info Request   | \$ 250     |
| Structured Security | 22162   | Str Annual Structured Settlement  | \$ 1,575   |
| Structured Security | 22046   | Str Annual VOS 5*/6*              | \$ 1,575   |
| Structured Security | 22043   | Str Initial Expired Info Request  | \$ 250     |
| Structured Security | 22161   | Str Initial Structured Settlement | \$ 1,575   |
| Structured Security | 22042   | Str Initial VOS 5*/6*             | \$ 750     |
| Structured Security | 22047   | Str Material Change               | \$ 250     |
| Structured Security | 22051   | Str Non-Billable Filing           | \$ -       |
| Structured Security | 22048   | Str Pricing Request               | \$ -       |
| Structured Security | 22049   | Str Renumbering Request           | \$ -       |
| Structured Security | 22101   | Struc CTL Bond Initial            | \$ 1,575   |
| Structured Security | 22000   | Struc Initial Rated               | \$ 250     |
| Structured Security | 22009   | Struc REIT Initial Rated          | \$ 250     |
| Structured Security | 22010   | Struct A/U Issue Rated            | \$ 250     |
| Structured Security | 22111   | Struct A/U Not Rated              | \$ 1,575   |
| Structured Security | 22018   | Struct CMBS A/U                   | \$ 250     |
| Structured Security | 22008   | Struct CMBS Initial               | \$ 250     |
| Structured Security | 22020   | Struct CTL Additional Notes       | \$ 250     |
| Structured Security | 22102   | Struct CTL Lease Initial          | \$ 2,500   |
| Structured Security | 22124   | Struct Eqty-Lnkd A/U NotRtd       | \$ 1,575   |
| Structured Security | 22023   | Struct Eqty-Lnkd A/U Rated        | \$ 250     |
| Structured Security | 22122   | Struct Eqty-Lnkd Initial NtRat    | \$ 1,575   |
| Structured Security | 22021   | Struct Eqty-Lnkd Initial Rated    | \$ 250     |
| Structured Security | 22123   | Struct Eqty-Lnkd NtVOS            | \$ 1,575   |
| Structured Security | 22040   | Struct Initial Filing NtRat NtVOS | \$ 1,575   |
| Structured Security | 22128   | Struct REIT A/U Not Rated         | \$ 1,575   |
| Structured Security | 22019   | Struct REIT A/U Rated             | \$ 250     |
| Structured Security | 22127   | Struct REIT Initial Not In VOS    | \$ 5,000   |
| Structured Security | 22030   | Struct REIT Initial Not Rated     | \$ 1,575   |
| Structured Security | 22013   | Struct Replication A/U            | \$ 250     |
| Structured Security | 22160   | Struct Replication Collateral     | \$ 250     |
| Structured Security | 22112   | Struct Replication Initial        | \$ 1,575   |
| Structured Security | 22025   | Struct RMBS A/U Gtd               | \$ 250     |
| Structured Security | 22014   | Struct RMBS A/U Non-Gtd           | \$ 525     |
| Structured Security | 22026   | Struct RMBS Initial Gtd           | \$ 250     |
| Structured Security | 22104   | Struct RMBS Initial Non-Gtd       | \$ 1,575   |
| Structured Security | 22166   | Structured Annual ETFs            | \$ 1,575   |
| Structured Security | 22165   | Structured Initial ETFs           | \$ 2,500   |
| Structured Security | 22169   | Structured Material Change        | \$ 250     |
| Structured Security | 22170   | Structured Pricing Request        | \$ -       |
| Structured Security | 22167   | Structured Rejected Filing        | \$ 250     |
| Structured Security | 22171   | Structured Renumbering Request    | \$ -       |



National Association of Insurance Commissioners

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| DEPARTMENT              | PS CODE | PS DESCRIPTION                   | Fee Amount |
|-------------------------|---------|----------------------------------|------------|
| Working Capital Fin Inv | 25006   | Working Capital Fin Inv          | \$ 10,000  |
| Working Capital Fin Inv | 25008   | Working Capital Fin Inv - Annual | \$ 1,575   |
| Working Capital Fin Inv | 25007   | Working Capital Fin Inv - Prev   | \$ 5,000   |