

REPLACED CONTRACTS STANDARDIZED DATA REQUEST
Annuity Line of Business

Contents: This file should be downloaded from company system(s) and contain one record for each annuity contract that the company replaced in [applicable state] during the examination period. The data should reflect only records that the company replaced, and not include contracts that were issued by the company, and replaced by other companies.

Uses: Data will be used to determine if the company follows appropriate procedures with respect to annuity contracts in [applicable state] within the scope of the examination:

- Cross-reference to in force data file to review persistency;
- Cross-reference with the company's MCAS data to validate the accuracy of MCAS reporting;
- Cross-reference to in force data file to determine whether producers are coding replacements properly;
- Cross-reference to producer data file to test producer licensure and replacement rates by producer; and
- Test for compliance with replacement notice requirements.

Field Name	Start	Length	Type	Decimals	Description
CoCode	1	5	A		NAIC company code
ConNo	6	20	A		Contract number
EffDt	26	10	D		Contract effective date [MM/DD/YYYY]
CWAAmt	36	10	N	2	Consideration amount received with the replacement
ConForm	46	20	A		Contract form number as filed with the insurance department
COFirst	66	15	A		Contract owner first name
COMid	81	15	A		Contract owner middle name
COLast	96	20	A		Contract owner last name
CODOB	116	10	D		Contract owner date of birth [MM/DD/YYYY]
COAddr	126	100	A		Contract owner street address
COCity	226	20	A		Contract owner city
COSt	246	2	A		Contract owner state abbreviation
COZip	248	5	A		Contract owner ZIP code
IssDt	253	10	D		Contract issue date [MM/DD/YYYY]
IssSt	263	2	A		State abbreviation where contract was issued
RepNtcCo	265	100	A		Name of replaced company
RepNtcDt	365	10	D		Date replacement notice sent [MM/DD/YYYY]
PrCode	375	10	A		Company internal producer, CSR or business entity producer identification code Please provide a list to explain any codes used
NPN	385	7	A		National producer number
RepType	392	1	A		Type of replacement Internal = 1 or External = 2
PlanCode	393	10	A		System plan code Please provide a list of system plan codes along with their meanings

T1035	403	1	A	Is a T1035 required to be completed in the event of a termination of replacement? (Y/N)
TxStat	404	1	A	Q = Qualified N = Nonqualified
EndRec	405	1	A	End of record marker. Please place an asterisk in this field to indicate the end of the record. This must be in the same character position for every record in this table.

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