

PAYMENT, WITHDRAWAL AND SURRENDER STANDARDIZED DATA REQUEST
Annuity Line of Business

Contents: This file should be downloaded from company system(s) and contain one record for each annuity transaction that involved an annuity payment, withdrawal or surrender, issued to [applicable state] residents that were in force at any time during the examination period.

Uses: Data will be used to determine if the company follows appropriate procedures with respect to annuity transactions regarding annuity contracts in [applicable state] within the scope of the examination:

- Cross-reference with the annuity in force standardized data request for data accuracy; and
- Cross-reference with MCAS data to ensure completeness of exam data submitted.

Field Name	Start	Length	Type	Decimals	Description
CoCode	1	5	A		NAIC company code
ConNo	6	20	A		Contract number
COFirst	26	15	A		First name of contract owner responsible for premium payment of contract
COMid	41	15	A		Middle name of contract owner responsible for premium payment of contract
COLast	56	20	A		Last name of contract owner responsible for premium payment of contract
ConYr	76	4	A		The contract year at the time of the individual annuity payment, withdrawal or surrender request
SurWithA	80	1	A		Was the itemized transaction the result of a surrender, withdrawal or annuity payment? (S/W/A)?
PmtTyp	81	20	A		Type of annuity payment Please provide a list to explain any codes used
ReqDt	101	10	D		For the referenced annuity payment, withdrawal or surrender, please provide the date of surrender request, withdrawal request or the request to begin annuity payments? [MM/DD/YYYY]
FrqPay	111	15	A		For annuitized contracts, please specify the frequency of the annuitization payment, if applicable (e.g. monthly, annually, quarterly, etc)
PayDt	126	10	D		For the referenced annuity payment, surrender or withdrawal, please specify when the transaction was effected [MM/DD/YYYY]
AmtSW	136	11	N	2	Amount of surrender or withdrawal or annuity payment
ChargeSW	147	11	N	2	Amount of the withdrawal or surrender charge
PenInc	158	11	N	2	Amount of penalty incurred for the withdrawal or surrender
PenCd	169	10	A		Penalty code(s) applied Please provide a list of all penalty codes and their meanings
EndRec	179	1	A		End of record marker. Please place an asterisk in this field to indicate the end of the record. This must be in the same character position for every record in this table.

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