

**PLAN CODE STANDARDIZED DATA REQUEST**  
**Annuity Line of Business**

Contents: For each annuity plan code which was in force or issued to [applicable state] residents at any time during the examination period, please provide information on the annuity plan code and its features. There should be one record for each annuity plan code.

Uses: Data will be used to ascertain general information about the annuity contracts marketed or in force during the exam period as well as when those contracts were marketed:

- Cross-reference product marketing dates with advertising data to validate completeness of the advertising data;
- Cross-reference with the Annuity Payment, Withdrawal, and Surrender data to validate surrender charges were applied during the surrender period; and
- Identify plan codes to sample (e.g. plans marketed during the exam period with a premium bonus).

Field Name	Start	Length	Type	Decimals	Description	ACLI Comments
PlanCode	1	6	A		System plan code <b>Please provide a list of system plan codes and their descriptions</b>	
ConType	7	20	A		Type of contract (i.e. variable, fixed, indexed, etc.) <b>Please provide a list of all contract type codes and their meaning</b>	
ConForm	27	10	A		Contract form number applied for as filed with insurance department	Are numbers for forms other than the base contract form sought? Are numbers for additional forms that constitute the “contract” sought as well? Also, provision of this information may require manual process, making it challenging to produce.
FilDt	37	10	D		Date contract sent for approval or filed {MM/DD/YYYY}	It is unclear why this information is sought.
ConDtApv	47	10	D		Date contract approved or filed {MM/DD/YYYY}	It is unclear what date is sought. Is issue date sought?
ProdDesc	57	50	A		<i>Product description/name</i>	
ProdBgDt	107	10	D		<i>Date product marketing began in [insert state], if applicable {MM/DD/YYYY}</i>	It is unclear why this date is sought. ACLI suggests a more useful field might be one that captures the first date the form was issued in a particular state.
ProdEnDt	117	10	D		Date product marketing ended in [insert state], if applicable {MM/DD/YYYY}	Again, it is unclear why this date is sought. ACLI suggests a more useful date may be one that captures the last date the form was issued in a particular state.
Illustr	127	1	A		Is an illustration required? (Y/N)	
BnsTyp	128	20	A		Types of bonuses available on annuity <b>Please provide a list of all bonus types and a description of each available bonus and applicable percentages</b>	

Comm1st	148	5	N	2	Percentage of first year commission	ACLI suggests this field is not needed. There is question whether a company stores this information. In any event, it is unclear how a company would respond, because many different factors determine compensation and compensation structures may change over time. So even if it is possible to show current compensation, it would not necessarily be a representation of business in force.
ComReHi	153	5	N	2	Provide the highest percentage of renewal commission payable for the annuity plan	There is question whether a company stores this information.
ComReLo	158	5	N	2	Provide the lowest percentage of renewal commission payable for this annuity plan	There is question whether a company stores this information.
CommYr	163	2	A		Number of years for which renewal commission was/is payable	There is question whether a company stores this information.
IntGuar	165	5	N	2	Guaranteed interest rate	
IntSen	170	1	A		Interest sensitive product? (Y/N)	
SurChgF	171	5	N	2	Percentage of first year surrender charge	How is this field intended to account for rolling surrender charges? Also, there is question whether a company stores this information. If a company stores the information, it may require cross referencing to systems other than policy administration system or manual process which may make it challenging to produce this information.
SurChgL	176	5	N	2	Percentage of last year surrender charge	Same as above.
SurPer	181	2	A		Surrender period (years)	Same as above.
PayOp	183	20	A		Pay out options available for the annuity <b>Please provide a list of all payout options available, including their meanings</b>	There is question whether a company stores this information.
Amrden	203	20	A		Pay out options available for the annuity <b>Please provide a list of all payout options available, including their meanings</b>	There is question whether a company stores this information.
EndRec	223	1	A		End of record marker. Please place an asterisk in this field to indicate the end of the record. This must be in the same character position for every record in this table.	