

SECURITIES VALUATION OFFICE 2017 SCHEDULE

March 1, 2017	Post list of NR (Not Rated) and UP (Un-Priced) securities that will be deleted on March 31. List will include securities with NR or UP, a 2015 Review Date and no Annual Update ATF scanned into ISIS.
March 31	Delete all NR and UP securities without an Annual Update ATF received by the SVO. This process will run before 1st quarter processing.
April 3	Post list of NR and UP securities deleted through process run on March 31. A list of NR and UP securities remaining in VOS will also be posted.
August 31	Submitting Annual Updates by this date will allow a company to report securities that were not reviewed by year-end as Z on their annual statement.
September 30	Deadline for submitting a P&I Certification Form to obtain an NAIC 5* Designation.
Fall, 2017	A mock year-end will be conducted during which beta test filers will receive sample AVS valuation files to review. Filers participating in the mock year-end will be notified as to specific testing dates as soon as they are determined.
December 4	Assign NR/UP and 2016 Review Date to all securities in VOS with 2015 Review Date. These securities can still be updated for 2016 by submitting a complete Annual Update ATF.
December 6	Post list of securities converted to NR/UP on December 4. This list will include securities with a 2016 Annual Update ATF received at the SVO but not yet completed.
December 18- December 29	Requests for pricing securities in VOS will be accepted by the SVO.
By December 29	SVO will make its best effort to complete annual updates received by September 1.

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December 29 AVS disabled 5:00 PM Central Time.

December 30 IS/SVO performs year-end processing and review.

December 30 Beta test filers review sample valuation files.
(late afternoon or evening)

January 2, 2018 10 AM Deadline for beta test filers to respond.

January 2 AVS released at 6:00 PM Central Time.

Addendum

January 10 AVS disabled at 1 PM Central Time for Addendum processing.

January 11 AVS released for 2018 processing at 12 Noon Central Time.

January 11 Post complete listing of securities in VOS with NR or UP. This list will include securities with a 2016 Annual Update ATF received at the SVO but not yet completed.