

Change in Control of Foreign (Non-Domestic) Insurers

✓ = This information has been updated by the state department of insurance.

State	Requirement
Alabama	A courtesy filing after the transaction is completed.
Alaska	Form E Pre-Notification Form Regarding the Potential Competitive Impact of a Proposed Merger or Acquisition (state market concentration information).(AS 21.22.065, 3 AAC 21.186)
Arizona	Pre-notification if the transaction is deemed to be anti-competitive. Notification after the transaction is completed.
Arkansas	Pre-notification with final order from state of domicile.
California	Pre-notification. Commercially domiciled companies must file a Form A. Foreign insurers are required to submit new Biographical Affidavits and fingerprint cards and may also be required to file Form 700.
Colorado	Notification after the transaction is completed.
Connecticut	Notification after the transaction is completed.
Delaware	Notification after the transaction is completed.
District of Columbia	Notification after the transaction is completed.
Florida	Notification after the transaction is completed
Georgia	Prior notification is required. Should the acquisition also involve one of the insurers merging out of existence, must submit the domiciliary regulator's approval documentation, as well.
Hawaii	Prior notification with market share and competitive standard information pursuant to §431:11-104.4 Hawaii Revised Statutes. Notification after transaction is completed, with state approvals and effective date of acquisition.
Idaho	No prior notification required if acquisition is beyond the scope of Idaho Code § Section 41-3805B, as set forth in §41-3805(2). Thirty (30) day prior notice otherwise. See www.doi.idaho.gov for filing requirements.
Illinois	No notification required.
Indiana	No notification required.
Iowa	Notification after the transaction is completed.
Kansas	Notification after the transaction is completed.
Kentucky	Notification after the transaction is completed. Must complete Corporate Amendment Application.
Louisiana	Notification after the transaction is completed.
✓Maine	Notification after the transaction is completed. See www.maineinsurancereg.org for filing requirements.
Maryland	Prior notification required.

State	Requirement
Massachusetts	Courtesy filing of the order if the change of control does not result in a name change, redomestication, address change or group change. Required notification if the change of control results in a name change, redomestication, address change or group change. There may be a fee associated with the filing, depending upon the change.
Missouri	Prior notification with Form E statement or letter explaining why no such statement needs to be filed.
Michigan	Notification within 90 days from the date of the change of control to re-qualify for a certification of authority. Refer to §500.405 and §500.405(a).
Minnesota	"60a.131(b) (NOTICE) (b) Every insurance company authorized to do business in this state shall notify the commissioner within ten days after receipt of notice of any acquisition by any person, association or corporation of stock or other equity security in said insurer where such transaction, directly or indirectly, either involves five percent or more of any class of any equity security of said insurer, or such acquisition results in ownership of five percent or more of any equity security of said insurer. 60d.18 (FORM E) if applicable"
Mississippi	Notification after the transaction is completed
Missouri	Prior notification and Form E or a letter explaining why no such statement needs to be filed. A filing fee is required if Form E is filed.
Montana	Notification after the transaction is completed.
Nebraska	Notification after the transaction is completed.
Nevada	Formal notification after the transaction is completed.
New Hampshire	Notification before the transaction is completed and a relicensing application, FormE#, State of Domicile approvals, Pro forma balance sheets and relicensing fees.
New Jersey	Notification required along with a copy of the approval of the acquisition by the domiciliary state, Form E and other information as requested by the state.
New Mexico	Notification after the transaction is completed accompanied by Form A and a copy of the approval from the state of domicile. Biographical Affidavits must be filed if new officers and/or directors are appointed.
New York	Notification after the transaction is completed.
North Carolina	Notification after the transaction is completed.
North Dakota	Notification prior to the transaction with a Form E (market share impact) must be filed if both acquiring and acquired parties are licensed in North Dakota. Otherwise, notification is not required.
Ohio	Notification is required after the transaction is completed.
Oklahoma	Prior notification is required.
Oregon	Notification after the transaction is completed.
Pennsylvania	Prior notification is required. Prior to consummation, will evaluate Form E. After consummation, notification of any change in name or address as a result of the acquisition.
Rhode Island	Information Update Questionnaire available on the Rhode Island Department of Business Regulation web site.

State	Requirement
South Carolina	Preacquisition notification is required (or statement explaining why the acquiring person is exempt from preacquisition notification), copies of the approval documents from the involved insurance departments, the effective date of the acquisition.
South Dakota	Notification prior to the transaction and subsequent to the transaction, a statement that the transaction was completed.
Tennessee	Formal notification is required.
Texas	Formal notification is required if the company is commercially domiciled; otherwise, a courtesy filing.
Utah	Formal notification is required if the change involves a corporate reorganization as identified in UCA 31A-5-501 or changes in Articles of Incorporation.
Vermont	Notification is not required.
Virginia	Any amendments to an applicant's Certificate of Authority or Articles of Incorporation (including name changes, redomestications and mergers not involving domestic insurers) <u>must first be filed</u> with the Clerk of the Virginia State Corporation Commission, under Title 13.1 of the Code of Virginia.
Washington	Notification is required if there is a change of control of a foreign insurer licensed in Washington. Must complete Corporate Amendment Application.
West Virginia	Foreign companies must file a copy of the Form A approval.
Wisconsin	Notice is required pursuant to §618.21(2)(b), Wis. Stat.
Wyoming	Notification is not required.